4 Rec'd PCT/PTO 05 MAY 2005

66

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449/PTO-

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Application Number	Not Yet Assigned / 5 4 5
Filing Date	Herewith
First Named Inventor	Ville Kettunen
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	FRG-16076

Complete if Known

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5 400 504	07/14/1002	Ito et al.	
		^{US-} 5,130,531	07/14/1992		· · · · · · · · · · · · · · · · · · ·
		^{US-} 5,814,870	09/29/1998	Spacth	
		^{US-} 6,102,552	08/15/2000	Tullis	
		^{US-} 2002/0113246	08/22/2002	Nagai et al.	
		US-			
0 .	-	US-	-	-	
		US-			
		US-			
	ļ	US-			
		US-			·
		US-			
		US-			
		US-			
-		US-			
		US-			

Examiner Initials*	Cite No. ¹	Foreign Patent Document	N PATENT DOCUMENTS Publication Name of Patentee or Date Applicant of Cited Document MM-DD-YYYY		Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (# known) EP 1 235 281 A1 (English text)	08/28/2002	Omron Corp		
		FR 2 785 364 A1	05/05/2000	Valeo Vision		
		·				L
						╀
						┝

Examiner Signature	·	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

JC14 Rec'd PCT/PTO 05 MAY 2005

PTO/SB/08B (08-03)

Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO	Complete if Known		
Substitute for form 1446/176	Application Number	Not Yet Assigned 10/5339	
INFORMATION DISCLOSURE	Filing Date	Herewith Service Herewith	
STATEMENT BY APPLICANT	TEMENT BY APPLICANT First Named Inventor Ville Kettunen		
(Use as many sheets as necessary)	Art Unit	N/A	
(Use as many sneets as necessary)	Examiner Name	N/A	
Sheet 2 of 2	Attorney Docket Number	FRG-16076	

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Patent Abstracts of Japan, OPTICAL SEMICONDUCTOR ELEMENT, Publication Date: April 23, 1993.	
		WO 97/04491, OPTOELECTRONIC TRANSDUCER AND MANUFACTURING PROCESS, Publication Date: February 6, 1997.	
		WO 99/25031, LIGHT EMITTING ELEMENT HAVING AN OPTICAL ELEMENT MOLDED IN A SURFACE THEREOF, Publication Date: May 20, 1999.	
		WO 02/07230, LIGHT EMITTING DIODES, Publication Date: January 24, 2002.	
		WO 03/010588, DIFRACTIVE SHAPING OF THE INTENSITY DISTRIBUTION OF A SPATIALLY PARTIALLY COHERENT LIGHT BEAM, Publication Date: February 6, 2003.	
		GALE M: "Replication techniques for diffractive optical elements", MICROELECTRONIC ENGINEERING, 1997, vol. 34, pages 321-339.	
		DHOEDT B ET AL: "Monolithic integration of diffractive lenses with LED-arrays", JOURNAL OF LIGHTWAVE TECHNOLOGY, 1995, vol. 13, pages 1065-1073.	

Examiner	Date	•
Signature	 Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.